

**STATE OF MAINE**  
**DIVISION OF CORPORATIONS AND UCC**  
**UNIFORM COMMERCIAL CODE**  
**FILING GUIDELINES: UCC CORRECTION STATEMENT**

**Availability of Forms**

UCC-5 forms will be available on our website at: [www.state.me.us/sos/cec/corp/ucc.htm](http://www.state.me.us/sos/cec/corp/ucc.htm) in Adobe PDF fillable format as of July 1, 2001.

**Completion of Forms**

All UCC-5 filings must be submitted on the National Form. Laser generated forms are acceptable as long as they are the same size (8½ x 11) and have the same format. In order to receive an acknowledgment of your filing, you must:

1. Complete items A & B on the National Form, and
2. Provide two copies of your filing.

If you have provided our office with "postage paid envelopes" in the past, please do not submit them with your filings.

**Initial Financing Statement**

Item 1a must contain the type of record (UCC-1, UCC-3). Item 1b must contain the Initial Financing Statement number from the UCC-1.

**Reasons for Correction Statement**

Choose Item 2a if the record is inaccurate and provide the basis for this belief or choose Item 2b if the record was wrongfully filed and provide the basis for this belief.

**Date and Time**

Complete Item 3a and 3b to provide the date and time on which the initial financing statement identified in 1b was filed.

**Name of Person Authorizing this Correction Statement**

Complete Item 4a or 4b with the name of the person authorizing the filing of this correction statement. The record identified in Item 1 must be indexed under this name.

**Legibility of Forms**

Forms should be typed to reduce the possibility of error. Illegible or indecipherable forms will be rejected.

**Other**

An UCC-5 Correction Statement does not alter the information in the filing office's database relative to the financing statement or any amendment. The Correction Statement is merely placed on file for filers and searchers to determine the filing's validity.

**SUBMIT COMPLETED FORMS TO: UCC FILING SECTION, SECRETARY OF STATE,  
101 STATE HOUSE STATION, AUGUSTA, ME 04333-0101  
TEL: (207) 624-7760**